

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

JC891 U.S. PTO



10/13/00

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

JC891 U.S. PTO  
09/689681  
10/13/00

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): EEROLA et al

Title SPREAD SPECTRUM RECEIVER

Atty. Dkt.: PM 274423  
M#

2990994US/A/kop  
Client Ref

including:

Date: October 13, 2000

1. Specification: 12 pages (only spec. and claims) 2. ☐ Specification in non-English language  
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 11 numbered claims  
4. ☒ Drawings: 7 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"  
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_ )  
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
6(a) ☐ National Appln. No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_ (M# \_\_\_\_\_ )  
6(b) ☐ International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_  
7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.  
Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_\_ --  
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
9. ☐ Prior application is assigned to \_\_\_\_\_

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in FINLAND

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 19992208	October 13, 1999	(2) 20000520	March 7, 2000
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_  
13. Small entity status ☐ is not claimed; ☐ is claimed (Pre-filing confirmation required)  
13(a). ☒ Attached: 1 (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☒ Preliminary Amendment: Claim 3, line 1, delete "or 2".  
 Claim 4, line 1, delete "or 2".  
 Claim 5, line 1, delete "or 2".

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$355	101/201
19. Total Effective Claims	11	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	4	minus 3 =	*1	x \$80/\$40 =	40	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$395	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$435	

Our Deposit Account No. 03-3975

Our Order No. 60258 274423  
 C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

Applicant or Patentee: VLSI SOLUTION OY Attorney's Docket No.: 274423  
Serial or Patent No.: \_\_\_\_\_  
Filed or Issued: October 13, 2000  
For: Spread spectrum receiver

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: VLSI SOLUTION OY  
ADDRESS OF CONCERN: Hermiankatu 6-8 C, FIN-33720 Tampere

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: Spread spectrum receiver  
by inventor(s): Ville Eerola and Tapani Ritoniemi  
described in:

- ☒ the specification filed herewith.  
☐ application serial no.: \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\*, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 347 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).  
\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: \_\_\_\_\_

ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME: \_\_\_\_\_

ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: TAPANI RITONIEMI  
Title of Person Other Than Owner: MANAGING DIRECTOR  
Address of Person Signing: INSINÖÖRIKATU 84D31, 33720 TAMPERE, FINLAND

Signature: Tapani Ritoniemi Date: OCTOBER 6, 2000

# APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PM 274423  
(M#)

Invention: **SPREAD SPECTRUM RECEIVER**

Inventor (s): **EEROLA, Ville  
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## This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
  - ☒ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
  - Sub. Spec Filed \_\_\_\_\_
  - in App. No. \_\_\_\_\_ / \_\_\_\_\_
- ☐ Marked up Specification re
  - Sub. Spec. filed \_\_\_\_\_
  - In App. No \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION

## SPREAD SPECTRUM RECEIVER

### BACKGROUND OF THE INVENTION

The invention relates to a spread spectrum receiver and particularly to stepwise decimation in a spread spectrum receiver.

5 In spread spectrum systems, the bandwidth used for transmitting a signal is substantially wider than is required for the data to be transmitted. The spectrum of a signal is spread in the transmitter by means of a pseudo-random spreading code, which is independent of the original data. In the receiver, a code replica, which is an identical copy of said spreading code, is  
10 used to narrow the spectrum of a signal. Spread spectrum systems can be coarsely divided into direct sequence (DS) spread spectrum systems and frequency hopping (FH) spread spectrum systems. In frequency hopping systems, the transmission frequency is varied in accordance with a pseudo-random spreading code within the limits of the available bandwidth, i.e. hopping  
15 occurs from one frequency to another. In direct sequence systems, the spectrum is spread to the available bandwidth by shifting the phase of the carrier in accordance with a pseudo-random spreading code. The bits of a spreading code are usually called chips as distinct from actual data bits.

Figure 1A is a block diagram of a spread spectrum system based  
20 on a direct sequence, a transmitter 101 comprising not only a data modulator 104, but also a spreading code modulator 106 for spreading a transmitted spectrum by means of a spreading code. A receiver 102 comprises a despreading modulator 108, which operates with a spreading code replica identical to said spreading code and correlates a received signal with said spreading  
25 code replica. If the spreading code and the spreading code replica generated in the receiver are identical, and the spreading code replica and the spreading code included in the received signal are in phase, a data modulated signal preceding the spreading is obtained from the output of the despreading modulator 108. At the same time, any spurious signals are spread. A filter 110,  
30 which succeeds the despreading modulator 108, lets the data modulated signal through, but removes most of the power of a spurious signal, which improves the signal-to-noise ratio of the received signal.

Figure 1B shows a prior art spread spectrum receiver. A received  
35 signal  $S_{RF}$  is mixed by multipliers 112 and 114 with a sine-phased and cosine-phased component generated by a local oscillator 116, and filtered with low-

pass filters 118 and 120 to generate intermediate-frequency  $I_{if}$  (in-phase) and  $Q_{if}$  (quadrature) signals. The  $I_{if}$  and  $Q_{if}$  signals are then subjected to analog-to-digital conversion in A/D converters 122 and 124, and applied to a digital receiver part 126, in which code and carrier demodulation is performed, and whose output is further connected to a data demodulator (not shown) which performs data demodulation to the signal.

Figures 2A and 2B are block diagrams of two such prior art implementations of the digital receiver part of a spread spectrum receiver based on direct sequence spreading that are usable as the digital receiver part 126 of Figure 1B. The double lines in the block diagrams denote I and Q signals. In the implementation of Figure 2A, an incoming intermediate-frequency signal  $S_{in}$  is first multiplied by a local carrier replica generated in a frequency generator 203 using a carrier mixer 202 to remove the carrier and the Doppler shift, whereupon it is multiplied in a code mixer 204 by a local spreading code replica generated by a code generator 207 controlled by a frequency generator 205. The multiplication by the spreading code replica provides despreading and narrows the spectrum of the signal. Next, the narrowband signal obtained from the code mixer 204 is filtered with a low-pass filter 206 to remove noise and interference, and the sampling frequency of the low-pass filtered signal is lowered to a frequency according to the spectrum of the data modulation with a decimator 208. Signal  $S_{out}$  obtained from the decimator 208 is applied to carrier and code tracking means 212 and 214 and to a data demodulator (not shown) which performs data demodulation to the signal.

Figure 2C shows the spectrum shape of a wideband incoming signal  $S_{in}$  at an intermediate frequency  $f_{IF}$ . Figure 2D shows the spectrum shape of a signal obtained from the output of the carrier mixer 202 and down-converted to base frequency. Figure 2E, in turn, shows the spectrum shape of a narrowband signal obtained from the output of the code mixer 204. However, Figures 2C to 2E are only intended to illustrate the shape of the spectrum of a signal, and not to present the actual spectrum of a signal.

The implementation of Figure 2B is functionally identical to that of Figure 2A. In this implementation, a local carrier replica and a spreading code replica are combined in a mixer 213 to generate a local signal replica, and the incoming signal  $S_{in}$  is multiplied by this signal replica in a mixer 215. Otherwise, the signal processing corresponds to the implementation of Figure 2A. This implementation is in use particularly in systems based on analog compo-

nents, since it minimizes the number of components required on the signal path.

The implementation of Figure 2A is widely used. The implementation of Figure 2A is preferable to that of Figure 2B, because spread spectrum receivers usually have to comprise several out-of-phase signal paths, starting from the multiplication by the spreading code replica, to enable the implementation of spreading code tracking. Spreading code tracking can be implemented for example with a correlator structure shown in Figure 2F and comprising two out-of-phase signal paths 222 and 223, in which an incoming signal  $S_{\text{code}}$  freed from carrier modulation is correlated with an early  $C_e$  and late  $C_l$  spreading code replica generated locally with a code generator 224. A signal depending on the phase difference of the local spreading code replica and the code included in the signal  $S_{\text{code}}$  is obtained from the output of an adder 226, and this signal is used to adjust the phase of the spreading code replica in the right direction. Spreading code tracking is typically carried out separately for I and Q signals, i.e. the number of required components is double compared with the structure of Figure 2F.

A common feature in prior art implementations is that the carrier and the spreading code are removed at the same sampling frequency and that out-of-phase signal paths are processed in parallel.

## BRIEF DESCRIPTION OF THE INVENTION

An object of the invention is to provide a digital receiver part for a spread spectrum receiver so as to lower the power consumption of the spread spectrum receiver. The invention also relates to a spread spectrum receiver of the like device, which uses the digital receiver part of the invention. The objects of the invention are achieved with a digital receiver part and a spread spectrum receiver, which are characterized by what is stated in the independent claims. The preferred embodiments of the invention are disclosed in the dependent claims.

The invention is based on stepwise decimation in the receiver so that the sampling frequency used at each particular time is as low as possible. This allows the number of high-speed signal processing blocks to be minimized, resulting in minimal power consumption.

In accordance with the invention, to narrow the spectrum of a signal, the signal at an intermediate frequency is first mixed with a spreading

code replica to perform code demodulation. Decimation for lowering the sampling rate is the next step, followed by removal of the carrier by mixing the signal with a carrier replica. The lower sampling frequency allows the components carrying out removal of the carrier to be timed at a frequency lower than that in prior art solutions, and/or the removal of the carrier to be time multiplexed for several signal paths. If, after removal of the carrier, the sampling frequency of the signal is still higher than the sampling frequency required by data demodulation, a further lowering of the sampling frequency (i.e. decimation) within the limits set by the bandwidth of data modulation before data demodulation.

Generally, the solution of the invention is more complex than prior art solutions, in which the signal processing for removing carrier and code is carried out at the same sampling frequency, but the final implementation is not substantially more complex and does not require substantially more components than prior art solutions. In addition, the solution of the invention allows the carrier demodulation of out-of-phase signal paths in a time-multiplexed manner, which reduces the number of required components.

The invention is suitable for digital implementations. The solution is particularly advantageous in implementations in which the intermediate frequency of an intermediate-frequency signal coming to a digital receiver part, and the bandwidth required by data demodulation are less than the bandwidth required by the spreading code. This often materializes in digital CDMA (Code Division Multiple Access) systems, in which the last intermediate frequency generated by the radio part is low.

An advantage of the digital receiver part of the spread spectrum receiver and the spread spectrum receiver of the invention is optimized power consumption. A further advantage of the invention is that the components used for carrier demodulation can be timed at a lower frequency, and that time multiplexing is possible.

#### BRIEF DESCRIPTION OF THE FIGURES

The invention will now be described in greater detail by means of preferred embodiments with reference to the attached drawings, of which

Figure 1A is a block diagram of a spread spectrum system based on a direct sequence,

Figure 1B is a block diagram of a prior art spread spectrum receiver



based on a direct sequence,

Figures 2A and 2B are block diagrams of some prior art digital receiver parts of a spread spectrum receiver,

Figures 2C, 2D and 2E show the spectrum shape of a signal at different points of the digital receiver part shown in Figure 2A,

Figure 2F shows a prior art correlator structure,

Figure 3A is a block diagram of the digital receiver part of a spread spectrum receiver of the invention,

Figures 3B, 3C and 3D show the spectrum shape of a signal at different points of the digital receiver part of the invention shown in Figure 3A,

Figure 4 is a more specified block diagram of a digital receiver part of a spread spectrum receiver of the invention, and

Figure 5 is a more specified block diagram of another digital receiver part of the spread spectrum receiver of the invention.

## DETAILED DESCRIPTION OF THE INVENTION

Figure 3A is a block diagram of a digital receiver part of a spread spectrum receiver of the invention, which can be used as the digital receiver part 126 of Figure 1B. The double lines in the block diagram denote I and Q signals. An incoming signal  $S_{in}$  is first multiplied by a local spreading code replica generated with a code generator 207 controlled by a frequency generator 205 using a code mixer 204, which narrows the signal spectrum to the width of data modulation. The signal is then filtered with a low-pass filter 304, and the low-pass filtered signal is decimated with a decimator 306. Next, the obtained signal, which is at a lower sampling frequency, is multiplied by a local carrier replica generated with a frequency generator 203 using a carrier mixer 202, which shifts the signal to the base frequency by removing the carrier frequency and the Doppler shift. The signal obtained from the carrier mixer 202 is filtered further with a low-pass filter 308, and this low-pass filtered signal may be further decimated with a decimator 310 within the bandwidth required by data modulation. Finally, the signal  $S_{out}$  obtained from the decimator 310 is applied to carrier and code tracking means 212 and 214, which control the frequency generators 203 and 205, respectively, and to a data demodulator (not shown).

Figure 3B shows the spectrum shape of a broadband incoming signal  $S_{in}$  at an intermediate frequency  $f_{IF}$ , the shape being the same as the spectrum shape shown in Figure 2C. At this stage, the sampling frequency of the

signal may be in the range 16 MHz, for example. Figure 3C shows the spectrum shape of a narrowband signal at the intermediate frequency  $f_{IF}$  and obtained from the output of the code mixer 204. At this stage the signal is decimated, for example to about 255 kHz. Figure 3D, in turn, shows the spectrum shape of a narrowband signal shifted to the base frequency and obtained from the output of the carrier mixer 202, the shape being the same as the spectrum shape shown in Figure 2E. At this stage, the sampling frequency may be in the range of 1 kHz, for example. Figures 3B to 3D are only intended to illustrate the shape of the spectrum of a signal and not to represent the real spectrum of a signal.

In the structure of Figure 3A, the signal to be applied to the carrier and code tracking means 212 and 214 can also be taken directly from the output of the carrier mixer 202. The flow-pass filter 308 and the decimator 310 can also be omitted from the structure of the invention, particularly if the bandwidth of the signal obtained from the carrier mixer 202 corresponds to the bandwidth required by data demodulation, and the signal cannot be subjected to further decimation before the data demodulation is removed. The signals can be low-pass filtered and decimated for instance with 'integrate and dump' type of filters, in which an input signal is integrated for a given time, whereupon the result of the integration is sampled, and the integration is restarted from the beginning. Figure 3A shows the signal path for only one IQ signal pair, but to implement spreading code tracking, such signal paths are typically needed at least two for two out-of-phase signals.

Figure 4 is a more specified block diagram of a digital receiver part of a spread spectrum receiver of the invention, and comprises three signal paths for out-of-phase signals, which comprise separate I and Q components. The receiver part can be divided into a code demodulation part 401, a carrier demodulation part 402 and a processing part 403, which, in turn, can be divided by hardware and software into parts to be implemented in a digital signal processor, for example in such a way that the code demodulation part 401 and the carrier demodulation part 402 are implemented with hardware and the processing part 403 with software.

The code demodulation part 401 comprises three code mixers 404, 405 and 406 for removing the code modulation of out-of-phase signals by means of a local spreading code replica for code tracking. However, the number of out-of-phase signal paths is not limited to three, but there may be fewer

or more than three of them. The outputs of the code mixers 404, 405 and 406 are connected to decimation means 407, 408 and 409, respectively, which can be implemented for example as 'integrate and dump' type of filters.

5 The outputs of the decimation means 407, 408 and 409 are connected to carrier mixers 410, 411 and 412, respectively, of the carrier demodulation part 402, and are used to shift the intermediate frequency of signals to the base frequency by carrying out complex multiplication of I and Q signals by sine-phased and cosine-phased signals LO\_sin and LO\_cos generated by the local oscillator. The outputs of the carrier mixers 410, 411 and 412 are connected to other decimation means 413, 414 and 415, respectively, which can be implemented for example as 'integrate and dump' type of filters and which further decimate the signal.

15 The outputs of the decimation means 413 and 414 are connected to the code tracking means 214 of the processor part 403 for performing code tracking. The output of the code tracking means controls the frequency generator 205 of the code demodulation part 401, which generator, in turn, control the code generator 207, which generates out-of-phase spreading code replicas for the code mixers 404, 405 and 406. The inputs of the decimation means 415 are connected to the carrier tracking means 215 of the processing part 20 403 for performing carrier tracking. The outputs of the carrier tracking means control the frequency generator 207 of the carrier demodulation part 402, which generator generates sine-phased and cosine-phased signals LO\_sin and LO\_cos for the carrier mixers 410, 411 and 412. From the output of the decimation means 415 is also obtained a carrier and code demodulated signal 25  $S_{out}$ , which is further applied to a data demodulator, which is not shown in the figure.

Figure 5 is a more specified block diagram of another digital receiver part of a spread spectrum receiver of the invention, comprising three signal paths for out-of-phase signals, which comprise separate I and Q signals. 30 The receiver part can be divided into a code demodulation part 401, a carrier demodulation part 502 and a processing part 503, which, in turn, can be divided with hardware and software into parts to be implemented in a digital signal processor, for example in such a way that the code demodulation part 401 and the carrier demodulation part 502 are implemented by hardware and the processing part 503 is implemented by software.

35 The code demodulation part 401 comprises three code mixers 404,

405 and 406 for removing the code modulation of out-of-phase signals by means of a local spreading code replica for code tracking. However, the number of out-of-phase signal paths is not limited to three, but there may be fewer or more than three of them. The outputs of the code mixers 404, 405 and 406 are connected to the decimation means 407, 408 and 409, respectively, which can be implemented for instance as 'integrate and dump' type of filters.

The outputs of the decimation means 407, 408 and 409 are connected to a multiplexer 504 in the carrier demodulation part 502 for implementing time multiplexing of out-of-phase signal paths. The output of the multiplexer 504 is coupled to a carrier mixer 505 for shifting the intermediate frequency of the signals to the base frequency by performing complex multiplication of the I and Q signals by the sine-phased and cosine-phased signals LO\_sin and LO\_cos generated by the local oscillator. The output of the carrier mixer 505 is connected to other decimation means 506, which can be implemented for example as an 'integrate and dump' type of filter and which further decimate the signal.

The output of the decimation means 506 is coupled to a processing part 503, which is implemented in a signal processor 507 with software and in which the time multiplexed signals are processed to perform code tracking and carrier tracking and to generate a carrier and code demodulated signal  $S_{out}$  from the output of the decimation means 506. As an output from the processing part 503, a signal is also obtained that controls the frequency generator 205 of the code demodulation part 401, which generator in turn controls the code generator 207, which generates the out-of-phase spreading code replicas for the code mixers 404, 405 and 406, and a signal, which controls the frequency generator 207 of the carrier demodulation part 502, which generator generates the sine-phased and cosine-phased signals LO\_sin and LO\_cos for the carrier mixer 505. The carrier and code demodulated signal  $S_{out}$  obtained from the output of the signal processor 507 is further applied to a data demodulator, which is not shown in the figure and which frees the signal from data modulation.

It is obvious to a person skilled in the art that as technology advances, the basic idea of the invention can be implemented in a variety of ways. The invention and its embodiments are thus not restricted to the above examples, but may vary within the scope of the claims.

## CLAIMS

1. A digital receiver part for a spread spectrum receiver, comprising  
 an input for an intermediate-frequency signal,  
 an output for outputting a carrier and code demodulated signal,  
 5 a code mixer for code demodulation of the signal by means of a local spreading code replica,  
 a carrier mixer for carrier demodulation of the signal by means of a local carrier replica,  
 first means for lowering the sampling frequency of the signal,  
 10 said code mixer being arranged to precede said carrier mixer on the signal path,  
 said first means being arranged between said code mixer and said carrier mixer, and  
 the output of the carrier mixer being functionally connected as said  
 15 output of the digital receiver part.
2. A receiver part as claimed in claim 1, the receiver part further comprising  
 second means for lowering the sampling frequency of the signal,  
 said second means being arranged to succeed said carrier mixer on the signal  
 20 path, and having an output that is the output of the digital receiver part.
3. A receiver part as claimed in claim 1 or 2, wherein  
 the first and the second decimation means are implemented with  
 'integrate and dump' type of filters.
4. A receiver part as claimed in claim 1 or 2, comprising, for the  
 25 generation of a local spreading code replica,  
 a code generator for generating the local spreading code replica for the code mixer,  
 a frequency generator for controlling the code generator, and  
 code tracking means for controlling the generation means on the  
 30 basis of the output of the carrier mixer.
5. A receiver part as claimed in claim 1 or 2, comprising, for the generation of a local carrier replica,  
 a frequency generator for generating the local carrier replica for the carrier mixer, and  
 35 carrier tracking means for controlling the frequency generator on the

basis of the output of the carrier mixer.

6. A digital receiver part of a spread spectrum receiver, said receiver part comprising

- an input for an intermediate-frequency signal,
- 5 an output for outputting a carrier and code demodulated signal,
- a spreading code demodulator part, to whose input is connected the intermediate-frequency signal, a carrier demodulator part, which succeeds the spreading code demodulator part on the signal path, and a processing part, which succeeds the carrier demodulator part on the signal path, and from
- 10 whose output a carrier and code demodulated signal is obtained,

the spreading code demodulator part comprising at least two signal paths, both of which comprise

- a) a code mixer for code demodulation of the signal by means of a local spreading code replica, and
- 15 b) first means for lowering the sampling frequency of the signal, said first means being arranged to succeed the code mixer on the signal path, and

the carrier demodulator part comprising

- a) a carrier mixer for carrier demodulation of the signal by means of a local carrier replica, and
- 20 b) a multiplexer for directing signals from the outputs of the first means of the spreading code demodulator part in a time multiplexed manner to the carrier mixer.

7. A receiver part as claimed in claim 6, wherein the carrier demodulator part of the receiver part further comprises

second means for lowering the sampling frequency of the signal, said second means being arranged between said carrier mixer and processing part on the signal path.

8. A spread spectrum receiver for receiving a spread spectrum signal and for generating a carrier and code demodulated signal, the receiver comprising

- a radio-frequency part for filtering the desired frequency component from the received spread spectrum signal and mixes said frequency component to an intermediate frequency, and
- 35 a digital receiver part to which an intermediate-frequency signal, obtained from the radio frequency part, is applied and from whose output a car-

rier and code demodulated signal is obtained, and which comprises

a) a code mixer for code demodulation of the signal by means of a local spreading code replica,

5 b) a carrier mixer for carrier demodulation of the signal by means of a local carrier replica,

c) first means for lowering the sampling frequency of the signal, said code mixer being arranged to precede said carrier mixer on the signal path,

10 said first means being arranged between said code mixer and said carrier mixer,

the output of the carrier mixer being functionally connected as an output of the digital receiver part.

9. A receiver as claimed in claim 8, wherein said digital receiver part further comprises

15 second means for lowering the sampling frequency of the signal, said second means being arranged to succeed said carrier mixer on the signal path, and whose output is the output of the digital receiver part.

20 10. A spread spectrum receiver for receiving a spread spectrum signal and for generating a carrier and code demodulated signal, the receiver comprising

a radio-frequency part for filtering the desired frequency component from the received spread spectrum signal and for mixing said frequency component to an intermediate frequency, and

25 a digital receiver part to which an intermediate-frequency signal is applied and from whose output a carrier and code demodulated signal is obtained, the digital receiver part comprising

30 a spreading code demodulator part, to whose input is connected an intermediate-frequency signal, a carrier demodulator part, which succeeds the spreading code demodulator part on the signal path, and a processing part, which succeeds the carrier demodulator part on the signal path, and from whose output a carrier and code demodulated signal is obtained,

the spreading code demodulator part comprising at least two signal paths, both of which comprise

35 a) a code mixer for code demodulation of the signal by means of a local spreading code replica, and

b) first means for lowering the sampling frequency of the signal,

said means being arranged to succeed the code mixer on the signal path, and  
the carrier demodulator part comprising

a) a carrier mixer for carrier demodulation of the signal by means of  
a local carrier replica, and

5           b) a multiplexer for directing signals obtained from the outputs of  
the first means of the spreading code demodulator part in a time multiplexed  
manner to the carrier mixer.

11. A receiver as claimed in claim 10, wherein the carrier demodula-  
tor part of the receiver part further comprises

10           second means for lowering the sampling frequency of the signal,  
said second means being arranged between said carrier mixer and processing  
part on the signal path.



## ABSTRACT

The invention relates to a digital receiver part of a spread spectrum receiver, to which receiver part an intermediate-frequency signal ( $S_{in}$ ) is applied, and from whose output a carrier and code demodulated signal ( $S_{out}$ ) is obtained, comprising a code mixer (204) for code demodulation of the signal by means of a local spreading code replica, a carrier mixer (202) for carrier demodulation of the signal by means of a local carrier replica, and first decimation means (305). The receiver part of the invention is characterized in that said code mixer (204) is arranged to precede said carrier mixer (202) on the signal path, said first decimation means (305) are arranged between said code mixer (204) and said carrier mixer (202), and the output of the carrier mixer (202) is functionally connected as an output ( $S_{out}$ ) of the digital receiver part.

(Figure 3A)

Fig 1A

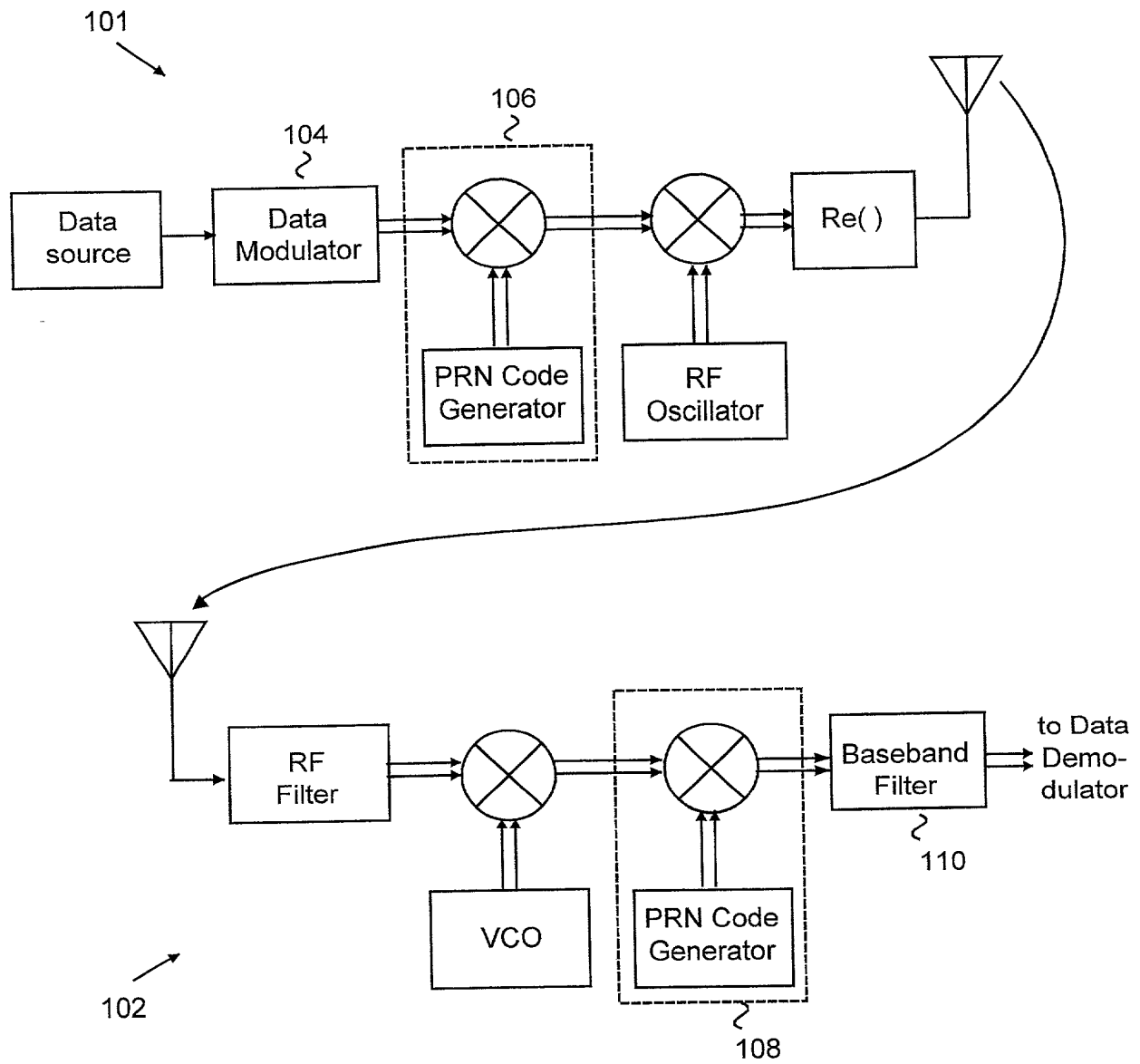


Fig 1B

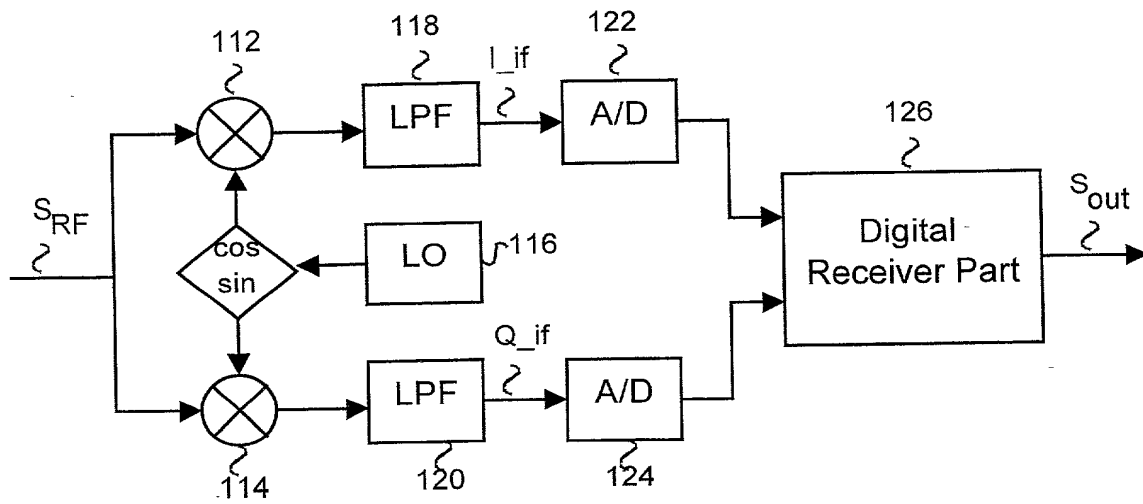


Fig 2A

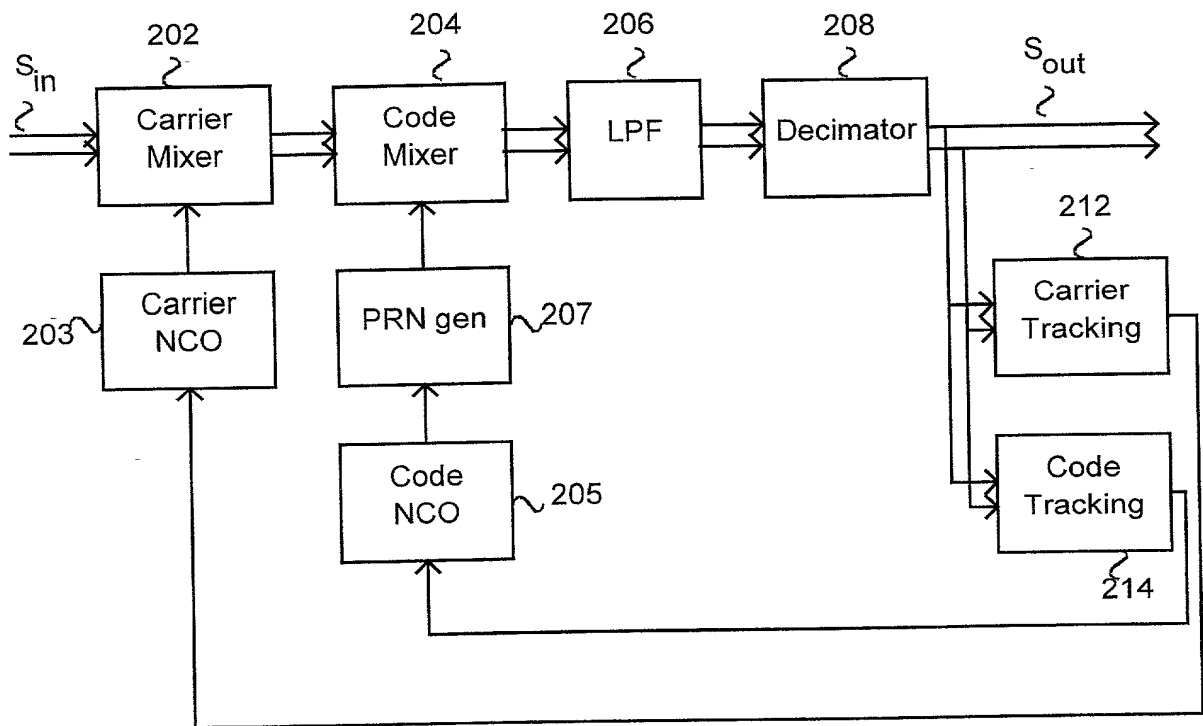


Fig 2B

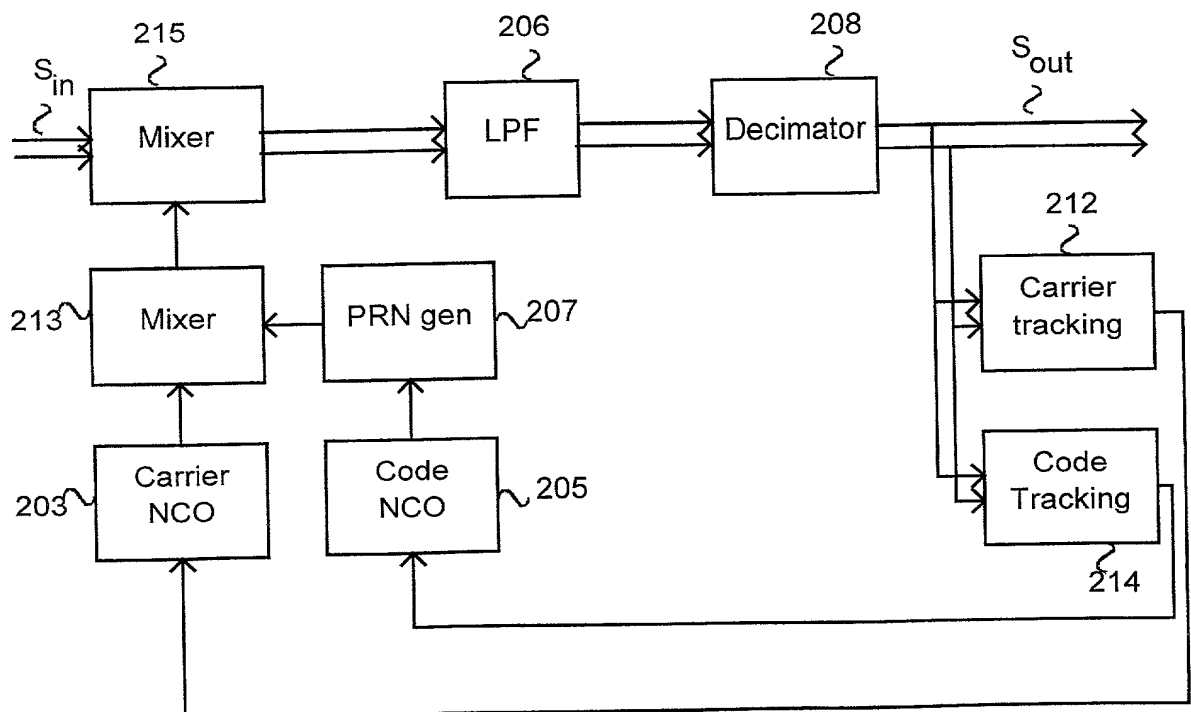




Fig 2C

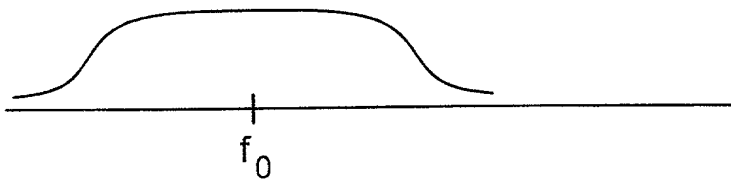


Fig 2D

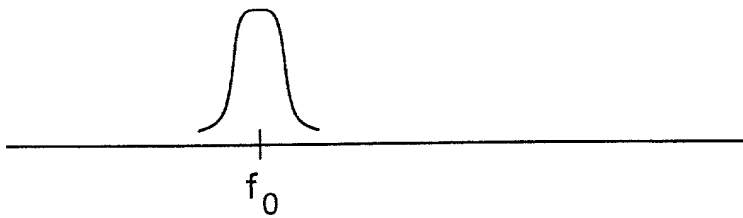


Fig 2E

Fig 2F

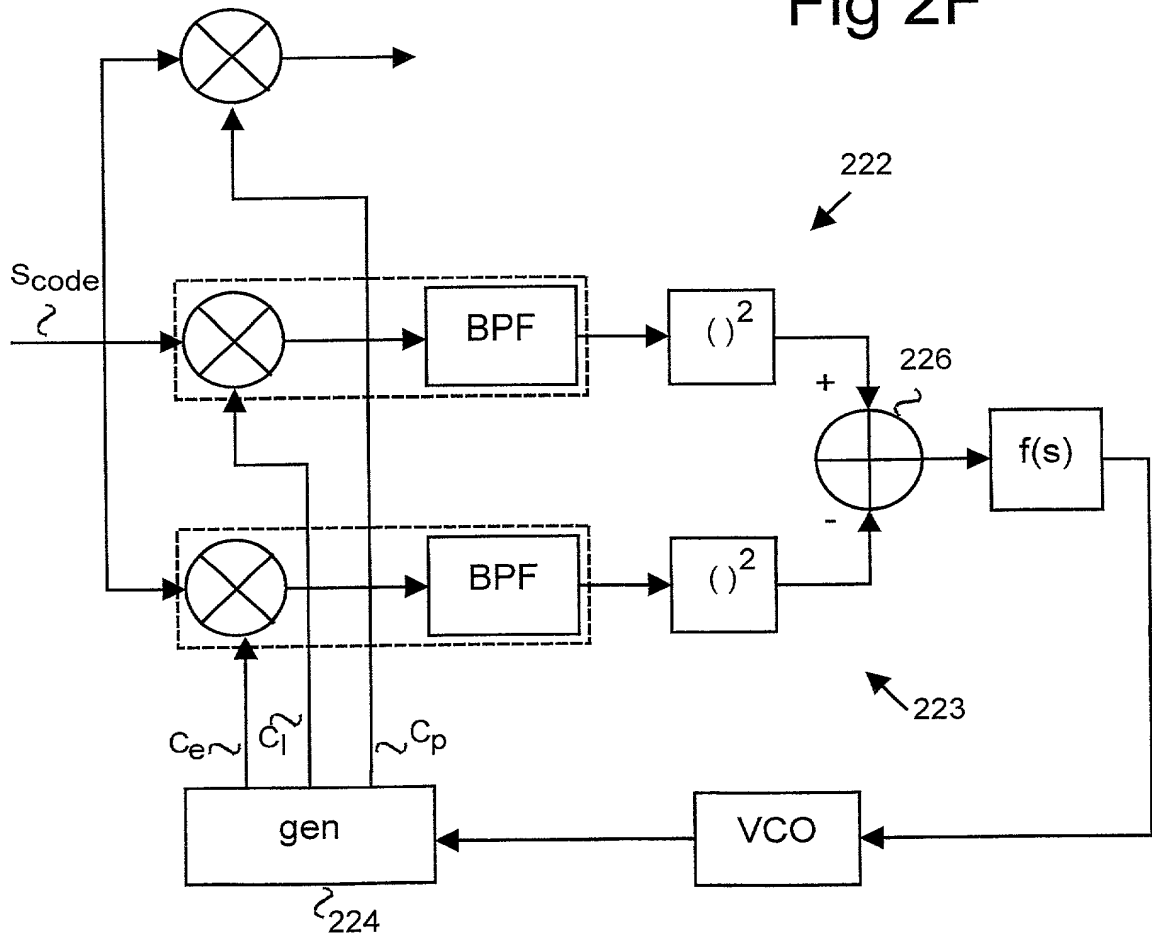


Fig 3A

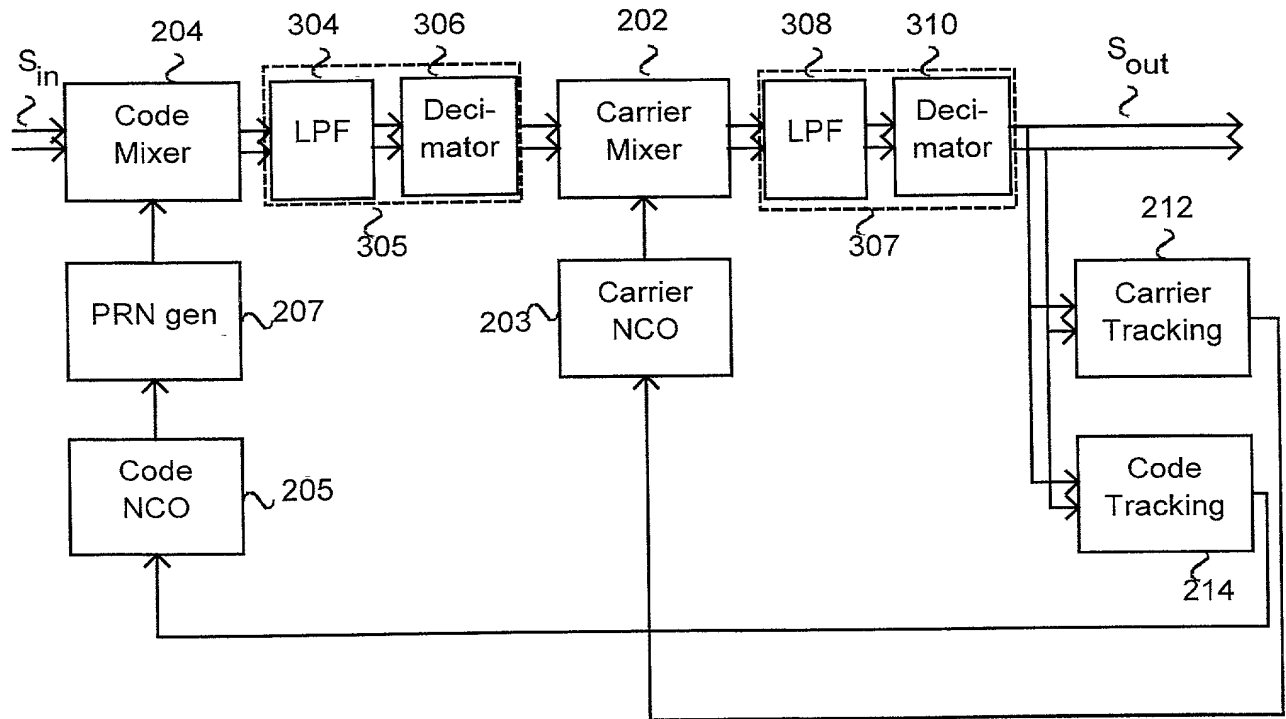


Fig 3B

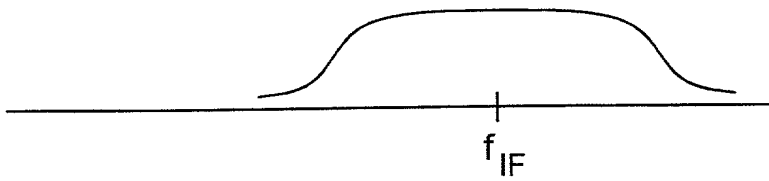


Fig 3C

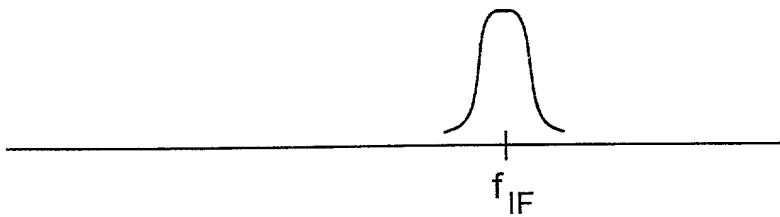


Fig 3D

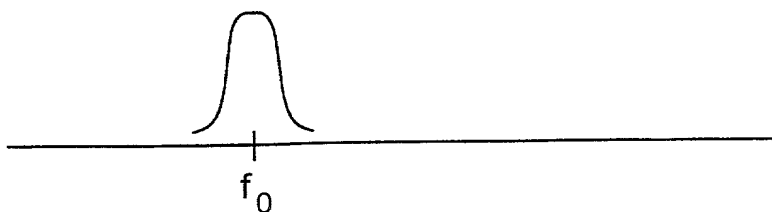


Fig 4

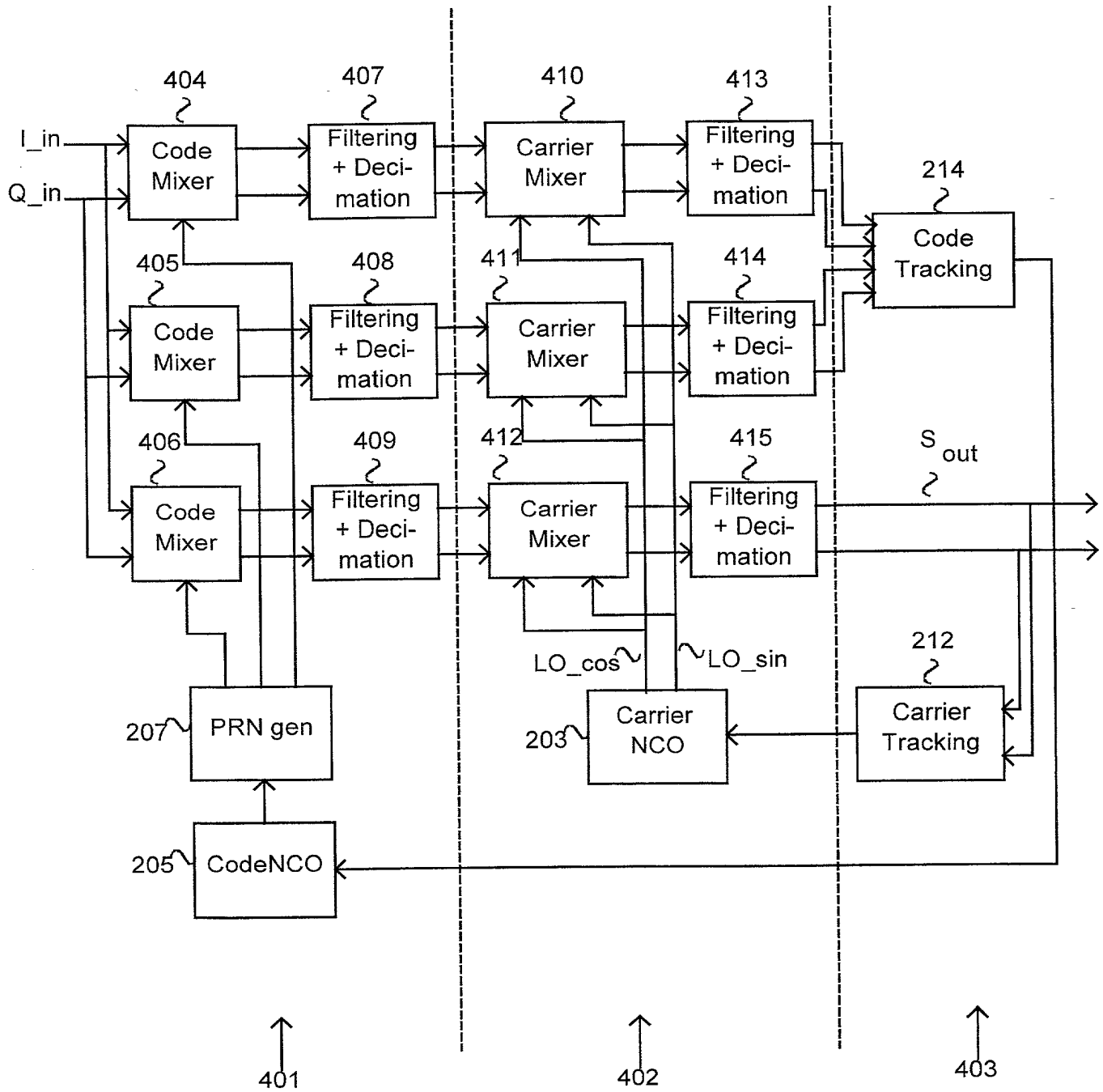
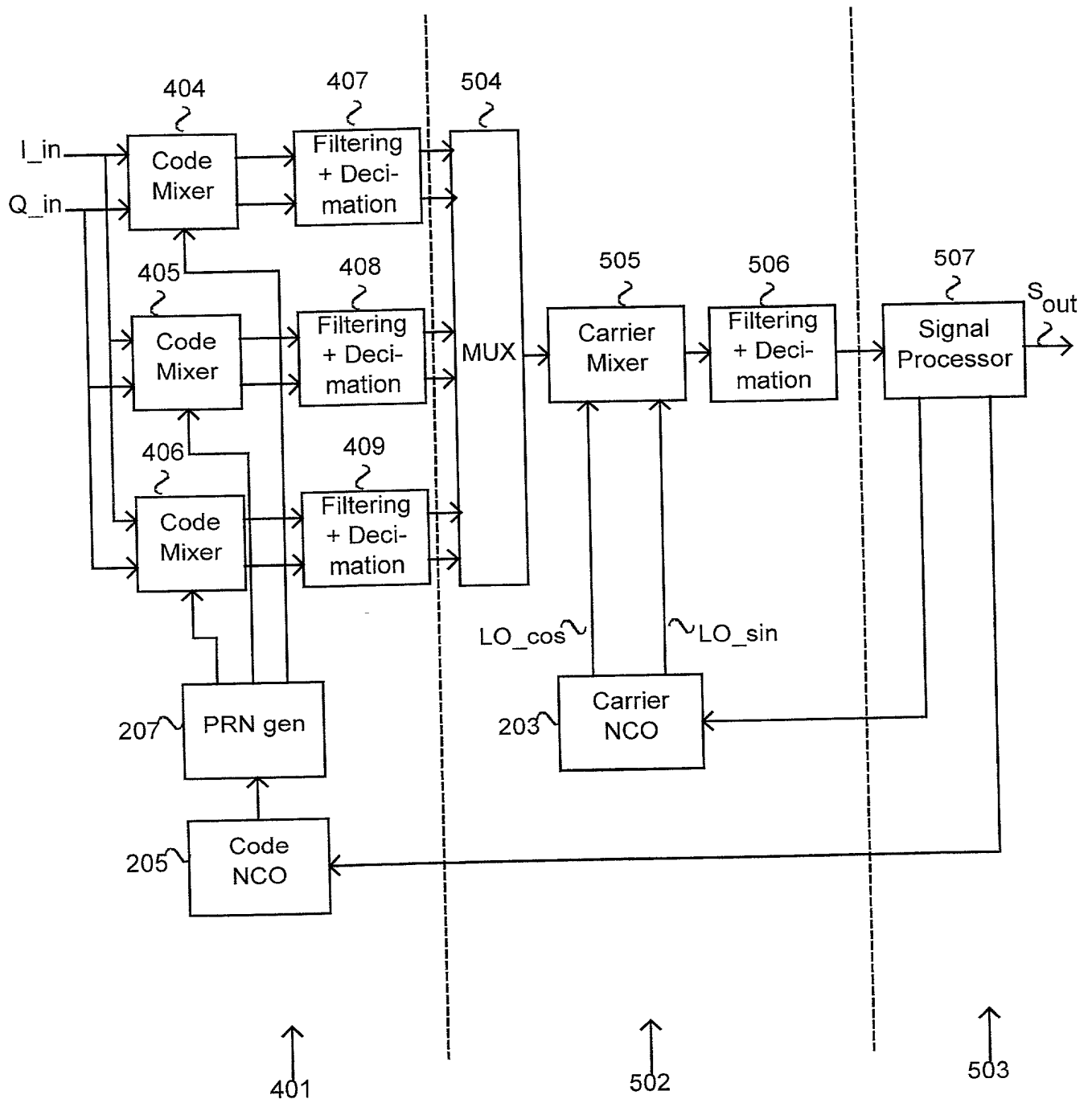


Fig 5





FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED  
Spread spectrum receiver

the specification of which (CHECK applicable BOX(ES))  
X → A. ☒ is attached hereto.  
BOX(ES) → B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_ /  
→ C. ☐ was filed as PCT International Application No. PCT/ \_\_\_\_\_ / on \_\_\_\_\_

and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)			Date first Laid- open or Published	Date Patented or Granted	Priority Claimed Yes No
Number	Country	Day/MONTH/Year Filed			
19992208	Finland	13 October 1999			X
20000520	Finland	7 March 2000			X

I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)		Status	Priority Claimed
Application No. (series code/serial no.)	Day/MONTH/Year Filed	pending, abandoned, patented	Yes No

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Sutro LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or a below attorney in writing to the contrary.

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